



**RISK ASSESSMENT**  
**HEALTHY FOR LIFE FUNDING ROUND – SOUTH EASTERN NSW**  
**IN-CONFIDENCE**

<b>Division/STO</b>	OATSIH	<b>Activity being assessed</b> (i.e. business plan, procurement, grant etc)	Funding Process – <i>Healthy for Life</i> Funding Round – South Eastern NSW
<b>Branch /STO Business Area</b>	Family Health and Wellbeing/Healthy for Life	<b>Business Objectives</b> (from Step 1)	To provide <i>Healthy for Life</i> funding for primary health care services to develop and implement <i>new</i> and <i>additional</i> services, projects or activities aimed at improving the health, wellbeing and development of Aboriginal and Torres Strait Islander people. The focus for this funding round is the provision of services in South Eastern NSW.
<b>Date</b>	December 2009		

RISK REFERENCE	THE RISK WHAT CAN HAPPEN?	SOURCE HOW CAN THIS HAPPEN?	IMPACT FROM EVENT HAPPENING	CURRENT TREATMENT STRATEGIES	EFFECTIVENESS OF CURRENT TREATMENTS	LIKELIHOOD	CONSEQUENCE	CURRENT RISK RATING	ACCEPTABLE/ UNACCEPTABLE?
	1. Too many Applications received	<ul style="list-style-type: none"> <li>Possible result of national advertising and confusion about the “limited” region of operation of the actual service.</li> </ul>	<ul style="list-style-type: none"> <li>Disappointment from organisations who do not receive funding</li> <li>Dilution of funding available for individual sites</li> <li>Strain on capacity of Central Office (CO) staff to assess applications</li> </ul>	<ul style="list-style-type: none"> <li>Unsuccessful organisations ranked suitable to remain on ‘short-list’ for potential future rounds.</li> <li>Provision of clear guidelines to applicants on eligibility and assessment criteria</li> <li>Allow adequate time for assessment</li> </ul>		Possibility	Minor	L	A

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	2. Unsuitable organisations apply	<ul style="list-style-type: none"> <li>Misunderstanding of application guidelines</li> <li>Inadequate supporting materials</li> </ul>	<ul style="list-style-type: none"> <li>Funding wasted (although lessons learnt might compensate)</li> <li>Disgruntled stakeholder</li> </ul>	<ul style="list-style-type: none"> <li>Capacity of organisations assessed prior to funding agreement being awarded</li> <li>Obtain advice from relevant STO regarding capacity of organisations – at assessment and implementation</li> <li>Use standardised assessment tool</li> </ul>		Rare	Minor	L	A
	3. <i>Healthy for Life</i> proposal does not represent local “community” voice	<ul style="list-style-type: none"> <li>Program proposal for services not supported by local community</li> <li>Applicant doesn't understand the community's needs (i.e. lack of consultation)</li> <li>Where <i>Healthy for Life</i> program plan requires specific, complementary actions by community members, these actions are not agreed</li> </ul>	<ul style="list-style-type: none"> <li>Appropriate services not delivered</li> <li>Objectives of <i>Healthy for Life</i> funding not met</li> </ul>	<ul style="list-style-type: none"> <li>During development of the <i>Healthy for Life</i> application and subsequent program plans, participating sites are encouraged to consult local communities on its suitability;</li> <li>Applicants must demonstrate evidence of need, capacity and community support.</li> </ul>		Unlikely	Minor	L	A

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Refer to Risk 3	4. Aims and objectives of <i>Healthy for Life</i> not understood by organisations	<ul style="list-style-type: none"> <li>Unclear description of requirements to focus on primary health in call for applications</li> <li>Inadequate support to applicants</li> </ul>	<ul style="list-style-type: none"> <li>Complaints by organisations</li> <li>Objectives not met</li> <li>Applications received that do not meet the criteria</li> </ul>	<ul style="list-style-type: none"> <li>Provision of guideline and resource kits to all applicants</li> <li>Information on Website</li> <li>Ongoing support via CO response to questions from participants</li> </ul>		Unlikely	Minor	L	A
Refer to Risk 1	5. Program expenditure not made in current financial year	<ul style="list-style-type: none"> <li>Failure to sign Funding Agreement or commence program in a timely manner</li> <li>Recruitment delays</li> </ul>	<ul style="list-style-type: none"> <li>Loss of funds to program</li> <li>Program objectives not met</li> <li>Disgruntled Stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>Close monitoring of expenditure</li> <li>Review expenditure monthly and reallocate funds to related 'targeted activities'</li> </ul>		Likely	Moderate	H	A
	6. Indicative timelines slip due to competing priorities/delays	<ul style="list-style-type: none"> <li>Call for Invitations to Apply not advertised or assessed in a timely way</li> <li>Execution of funding agreements with successful organisations delayed</li> </ul>	<ul style="list-style-type: none"> <li>Program objectives not met</li> <li>Delay</li> <li>Underspend</li> </ul>	<ul style="list-style-type: none"> <li>Ensure funding plan is presented for approval in timely manner</li> <li>Key milestones identified and reviewed weekly</li> </ul>		Possibly	Minor	M	A

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	7. Individuals and organisations publicly criticise any consideration of mainstream organisations	<ul style="list-style-type: none"> <li>NSW Aboriginal Health and Medical Research Council (AH&amp;MRC) become aware of mainstream applications.</li> </ul>	<ul style="list-style-type: none"> <li>Political embarrassment.</li> </ul>	<ul style="list-style-type: none"> <li>FAS OATSIH to write to NACCHO Chair explaining the program, the funding, the timelines and the site / service selection process.</li> </ul>		Likely	Moderate	M	A
	8. Boycott of process by NSW Aboriginal Community Controlled Health Organisations (ACCCHO)	<ul style="list-style-type: none"> <li>NSW AMSs respond to Armidale AMS "Call to Arms"</li> </ul>	<ul style="list-style-type: none"> <li>Applications only received from Divisions of General Practice</li> </ul>	<ul style="list-style-type: none"> <li>FAS OATSIH to write to NACCHO Chair explaining the program, the funding, the timelines and the site / service selection process.</li> </ul>		Unlikely	Moderate	M	A
	9. Applicants mail in Applications.	<ul style="list-style-type: none"> <li>Previous applications have been mailed.</li> </ul>	<ul style="list-style-type: none"> <li>Application not eligible for consideration.</li> <li>Reduced numbers of eligible applications</li> </ul>	<ul style="list-style-type: none"> <li>Application Guidelines and Application Form describe that Mailed applications will not be accepted.</li> </ul>		Likely	Moderate	M	A